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EXPRESS MAIL LABEL NO.: EV396741185US PATENT APPLICATION Docket No.: 7678.808

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

X

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

consideration.
 Legible copies of the listed references or their relevant portions.
 All English translations of each non-English reference, if any within the possession, custody, control or availability of anyon designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

Form PTO-1449 list of fourteen (14) references submitted for

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

 and unaccompanied by an English translation.
 Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
 Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

	Legible Copies of previously filed Information Disclosure Statement for application Serial No, filed					
In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:						
	Promptness Certification.					
<del></del>	Check No in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);					
	Petition for Consideration and Check No in the amount of \$					
<u>X</u>	In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.					
Dated	this 25th day of February, 2004.					
f * 1.	Respectfully submitted,					

OHN M. GUYNN
Attorney for Applicant
Registration No. 36,153
Customer No. 022913

JMG:kcs KCS0000001806V001

PATENT APPLICATION
Docket No.: 7678.808

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& THAT	re application of:		)
		Bruce S. McLean	) )
-	Serial No.:	•	) ) Art Unit ) Not Known
	Filed:	December 1, 2003	) Not Known
·	Confirmation No.:	Not Yet Known	, ) `
	For:	SYRINGE MIXER AND SYRINGE APPARATUS INCORPORATING THE MIXER	) ) )
	Examiner:	Not Yet Known	) )

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Dated this 25th day of February

. 2004

Respectfully submitted,

JOHN M. GUYNN

Attorney for Applicant Registration No. 36,153

Customer No. 022913

JMG:kcs KCS0000001803V001 FEB 2 6 2004 37

EXPRESSION LABEL NO.: EV 396741185 US

Form PTO-1449

Bruce S. McLean

Applicant: Serial No.:

10/724,922

Filing Date:

December 1, 2003

Confirmation No.:

Not Yet Known

For:

SYRINGE MIXER AND SYRINGE APPARATUS

Sheet 1 of 3

Att'y Docket No.: 7678.808 Group: Not Yet Known

INCORPORATING THE MIXER

#### INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

#### U.S. Patent Documents

Examiner <u>Initial*</u>	Patent <u>Number</u>	Issue <u>Date</u>	Name	Class	Sub <u>Class</u>	Filing <u>Date</u>
A1.	5,425,580	06/20/95	Beller	366	131	08/02/94
A2.	5,810,773	09/22/98	Pesnicak	604	83	03/25/97
A3.	5,908,054	06/01/99	Safabash, et al.	141	26	01/30/98
A4.	5,957,166	09/28/99	Safabash	141	26	06/16/97
A5.	6,132,400	10/17/00	Waldenburg	604	191	09/26/95
A6.	6,234,190	05/22/01	Fischer, et al.	137	68.23	02/25/00
A7.	6,234,196	05/22/01	Fischer, et al.	137	493.8	02/19/99
A8.	6,305,413	10/23/01	Fischer, et al.	137	493.8	08/14/00
A9.	6,610,034	08/26/03	Fischer, et al.	604	241	01/21/00

Examiner:

Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 396741185 US

Form PTO-1449

Sheet 2 of 3

Applicant:

Bruce S. McLean

Serial No.:

10/724,922

Group: Not Yet Known

Att'y Docket No.: 7678.808

Filing Date: Confirmation No.: December 1, 2003

For:

Not Yet Known

SYRINGE MIXER AND SYRINGE APPARATUS

INCORPORATING THE MIXER

# U.S. Patent Application Publications

	Examiner <u>Initial*</u>	Publication Number	Pub. <u>Date</u>	<u>Name</u>	Class	Sub <u>Class</u>	Filing <u>Date</u>
	A10.	2002/0055708	05/09/02	Peterson	604	82	09/24/99
	A11.	2002/0072703	06/13/02	Nollert, et al.	604	82	07/18/01
	A12.	2002/0077589	06/20/02	Tessari	604	82	01/22/01
•	A13.	2002/0101785	08/01/02	Edwards, et al.	366	268	01/26/01
	A14.	2002/0193732	12/19/02	Naimark, et al.	604	84	06/19/01

Examiner:

Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 396741185 US

Form PTO-1449 Sheet 3 of 3

Att'y Docket No.: 7678.808

Group: Not Yet Known

Applicant:

Bruce S. McLean

Serial No.:

10/724,922

Filing Date:
Confirmation No.:

December 1, 2003 Not Yet Known

For:

SYRINGE MIXER AND SYRINGE APPARATUS

INCORPORATING THE MIXER

## References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

KCS0000001804V00
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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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	OF MAILING BY	"EYDDEGG M	AIL" (37 CFR 1.10)
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Applicant(s): Bruce S. McLean

Docket No. 7678.808

Serial No. 10/724,922

Filing Date December 1, 2003 Confirmation No. Not Known

Examiner Not Yet Known Group Art Unit Not Known

Invention: SYRINGE MIXER AND SYRINGE APPARATUS INCORPORATING THE MIXER

I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (3 pgs); and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Formatti Office 1, 2004.

Kendell Steele

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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